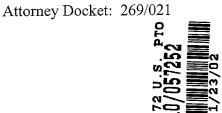
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Spirate Spirate Commissioner for Patents Box Patent Application

Washington, D.C. 20231



Patent

NEW APPLICATION TRANSMITTAL - UTILITY

		NEW APPLICATION TRANSMITTAL - OTICITY							
Sir:									
Trans	mitted herewit	h for filing is a utility patent application:							
Inventor:		Jon St. Germain, Peter T. Keith, Denise Barbut, Steven W. Berhow, and Joel R. Munsinger							
	Title:	DEVICES AND METHODS FOR CEREBRAL PERFUSION AUGMENTATION							
I.	PAPERS ENCLOSED HEREWITH FOR FILING UNDER 37 CFR § 1.53(b):								
II.	10 Page 1 Page 10 Shee	(s) of Written Description (s) Claims (s) Abstract ts of Drawings Informal Formal [AL PAPERS ENCLOSED IN CONNECTION WITH THIS FILING:							
	Declaration Power of Attorney Separate Combined with Declaration Assignment to CoAxia, Inc. and assignment cover sheet Certified Copy of Priority Document No(s): Information Disclosure Statement w/PTO 1449 Copy of Citations Preliminary Amendment Request and Certification under 35 U.S.C. § 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 Return Postcard Other:								
III.	PRIORITY								
•		rity of application Serial No filed on in is claimed under I.S.C. § 119.							
		CERTIFICATE OF MAILING (37 C.F.R. §1.10)	_						
date sh	y certify that this pape own below with suffice agton, D.C. 20231.	or (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the client postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the Commissioner for Patents,	h						

EV051624420US Express Mail Label No. January 23, 2002 Date of Deposit Cypthia Bracheco

Cynthia B. Pacheco

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THE FILING FEE HAS BEEN CALCULATED AS SHOWN BELOW: IV.

Applicant claims small entity status pursuant to 37 CFR § 1.27								
BASIC FILING FEE:								\$740.00
Total Claims	20	-	20	=	0	Х	\$18.00	\$0.00
Independent Claims	6	-	3	=	3	Х	\$84.00	\$252.00
Multiple Dependent Claims	\$270	(if applicable)			\$0.00			
TOTAL OF ABOVE CALCULATIONS								\$992.00
Reduction by ½ for Filing by Small Entity, if applicable, as asserted above. Note 37 CFR §§ 1.9, 1.27, 1.28.							\$496.00	
Misc. Filing Fees (Recordation of Assignment \$40)								\$40.00
TOTAL FEES DUE HEREWITH							\$536.00	
METHOD OF DAXMENT OF FEES								

V.	METHOD	OF P.	AYMENT	OF FEES
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\boxtimes	A check in the amo	unt of \$515.00.
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Charge Lyon & Lyon's Deposit Account No. 12-2475 in the amount of _____. This application is being filed without fee or Declaration under 37 CFR § 1.53.

VI. **AUTHORIZATION TO CHARGE FEES**

- 37 CFR § 1.16 (Filing fees and excess claims fees)
- 37 CFR § 1.17 (Any application processing fees)
- 37 CFR § 1.21 (Assignment recording fees)

VII. CORRESPONDENCE ADDRESS

Please send all correspondence to Customer Number 22249:



22249

PATENT TRADEMARK OFFICE

LYON & LYON LLP

Suite 4700

633 W. Fifth Street

Los Angeles, CA 90071

(949) 567–2300 or (213) 489–1600

Please direct all inquiries to John Kappos, at the above customer number.

Respectfully submitted,

LYON & LYON LLP

Dated: January 23, 2002

Reg. No. 38,861

Attorneys for Applicant

Menter Menter Menter REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)

First Named Inventor			ST. GERMAIN et al.				
	Title	DEVICES AND METHODS FOR CEREBRAL PEFUSION AUGMENTATION					
Atty. Docket Number		ocket Number	269/021				

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

January 23, 2002 Date

John Kappos
Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing.**

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement. This collection of information is required by 37 CFR 1 213(a). The information is used by the public to request that an application not be published under 35 U S C 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U S C 122 and 37 CFR 1 14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.